

DR. HARRY G. BROADMAN

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SUMMARY

Dr. Harry G. Broadman is a Managing Director and Practice Chair at Berkeley Research Group LLC (BRG); a member of Johns Hopkins University's faculty; an independent corporate director on several boards; and Board Leadership Fellow and Board Practices Faculty Member at the National Association of Corporate Directors (NACD).

Dr. Broadman has served as an expert witness on disputes and a strategic advisor to multinational companies, private equity firms, banks, investment and pension funds and Sovereign Wealth Funds in the areas of (i) antitrust, competition policy and economic regulation; (ii) cross-border investment, trade and supply chain transactions; (iii) corporate governance practices; (iv) business restructuring and insolvency; and (v) risk-mitigation and compliance matters, especially pertaining to corruption (FCPA) and national security (CFIUS).

His sectoral expertise covers information and advanced technologies; financial institutions and fin-tech; energy, natural resources and minerals; infrastructure services industries; heavy and light manufacturing; and retail and consumer goods. In addition to assignments across all the advanced countries, he has worked on the ground in more than 85+ emerging markets, spanning 5 continents, with two-plus decades throughout China, India and the former Soviet Union.

The core of Dr. Broadman's 38-year career has been in the private sector, with senior executive positions in international corporate and sovereign finance and banking; international private equity investment; and management consulting on global business growth strategy, antitrust, supply chain management, innovation, corporate governance, risk mitigation and compliance operations, and corporate social responsibility (CSR) and environmental, social and governance (ESG) initiatives and audits.

Interspersed with these activities, he has held several senior positions in the public sector—as Chief of Staff of the President's Council of Economic Advisers; U.S. Assistant Trade Representative, serving as the lead U.S. negotiator for all the services industries for the establishment of both the WTO and NAFTA, the lead negotiator for all U.S. Bilateral Investment Treaties as well as for all U.S. international Science and Technology Agreements, a Member of the Committee on Foreign Investment in the U.S. (CFIUS), and on the board of Overseas Private Investment Corporation (OPIC); and a U.S. Senate senior professional committee staff member.

In addition, Dr. Broadman has held a number of positions in academia—on the faculties of Harvard and Johns Hopkins Universities—and in several economic policy research organizations, such as the RAND Corporation, The Brookings Institution, and Resources for the Future (RFF) Inc.

EDUCATION

PhD, Economics	The University of Michigan, 1981
A.M, Economics	The University of Michigan, 1978
A.B, Economics and History (<i>magna cum laude, Phi Beta Kappa</i>)	Brown University, 1977

OPERATIONAL EXPERTISE: EXPERT WITNESS AND BUSINESS STRATEGY

- **International Investment Disputes, Trade Policy, National Security Regulation and Technology:** International Arbitration and Litigation; Foreign Investment Treaties; Trade Agreements; CFIUS Policy; Foreign Investment Laws; Science and Technology Agreements
- **Antitrust, International Competition Policy, Economic Regulation:** Big-Tech; Dominant Firms; Vertical and Horizontal Mergers; Entry Barriers; Predatory Conduct; Infrastructure Pricing and Access
- **Global Business Operations:** Supply Chain Management; Organic Growth and Innovation; M&A/JVs; Structuring Cross-Border Transactions; Market Entry/Exit; Site Location; Privatization
- **Finance and Corporate Governance:** Private Equity; Enterprise Restructuring; Fund Raising; Board Structure and Performance; Shareholder Rights; CSR Initiatives; ESG Ratings and Audits
- **Business Risk-Mitigation Strategy:** Financial Controls; Cyber Security; Co-Investments, Strategic Alliances and Public Private Partnerships (PPPs); Reputational Due Diligence
- **Corruption Compliance:** FCPA Investigations; Corruption Prevention and Remediation

SECTORAL EXPERTISE

- **Advanced Technology:** ICT; e-Commerce; Cyber Security
- **Financial Services:** Private Equity Investment; International Corporate Finance and Banking; Bankruptcy and Insolvency; Asset Management; FinTech; R&D Investment
- **Infrastructure Services:** Telecoms; Logistics; Ports; Aviation; Shipping; Rail; Trucking; Construction
- **Energy, Minerals and Natural Resources:** Oil; Natural Gas; Electric Power; Renewables; Mining; Forestry
- **Large-Scale Manufacturing:** Autos; Chemicals; Iron and Steel; Aluminum; Machinery
- **Retail and Consumer:** Food/Beverages; e-Commerce; Big Box; Textiles; Travel/Tourism

GEOGRAPHIC EXPERTISE

Advanced Countries:

All

Emerging Markets:

Asia: China, India, Vietnam, Myanmar, Indonesia, Malaysia, Thailand, South Korea, Philippines, Singapore, Nepal, Mongolia

LATAM: Brazil, Mexico, Colombia, Peru, Venezuela, Trinidad/Tobago

Russia/CIS/Europe: Russia and ten (10) of the other fourteen (14) FSU States; All of Eastern and Central Europe; All Balkans; Turkey

Sub-Saharan Africa: Twenty-one (21) of the Forty-Six (45) Sub-Saharan Countries

Middle East & North Africa: UAE, Kuwait, Israel, Saudi Arabia, Egypt, Morocco, Tunisia

EXPERT WITNESS ENGAGEMENTS

Available upon request

COMPANIES ADVISED (selected)

- Carlyle
- ADIA
- IBM
- GE
- Coca-Cola
- Canon
- Exxon-Mobil
- Valmet
- Johns Manville
- Corning
- Heineken
- Nike
- American Airlines
- Gazprom
- Emerging Capital Partners
- ERG (Kazakhstan)
- Temasek
- TPG
- Pepsi
- Merck
- Walmart
- Deere
- Mars
- Avon
- Intel
- McCormick
- Aditya Birla
- Pfizer
- Delta Airlines
- CEMEX
- Kuwait Investment Authority
- Nokia
- Apollo
- Diageo
- ICANN
- McCormick
- SunEdison
- ITW
- Westinghouse
- Siemens
- Standard Chartered
- Microsoft
- Weatherford
- CEMEX
- United Airlines
- Dubai Ports World
- Canadian Pension Investment Board
- RSM
- Abraaj
- Blackstone
- PPG
- Heineken
- Tyco
- Caterpillar
- Hilton
- Dow
- Manitowoc
- Berkshire Hathaway
- Mahindra
- Jaguar Land Rover
- British Airways
- Trinidad NO&GC
- Australia Future Fund

PRESENT EMPLOYMENT

- 2018 – Present: **Berkeley Research Group LLC**
Managing Director and Chair of Emerging Markets and CFIUS Practices
- 2015 – Present: **Johns Hopkins University Faculty, Graduate School of Advanced International Studies (SAIS)**
Senior Fellow, Department of International Economics
- 2015 – Present: **Proa Global Partners LLC (Cross-Border Transaction Advisory)**
CEO and Managing Partner
- 2015 – Present: **National Association of Corporate Directors (NACD)**
Master Workshop Faculty Member
Founding Member, Task Force on Emerging Private Company Boards

PREVIOUS POSITIONS

- 2011 – 2015: **PricewaterhouseCoopers (PwC) LLP**
Senior Managing Director; Founder and Leader, Global Business Growth Management Consulting Practice; PwC Chief Economist
- 2008 – 2011: **Albright Capital Management LLP (International Private Equity)**
Chief Economist/Managing Director and Member of Investment Committee
- 1993 – 2008: **The World Bank Group**
Lead for China Enterprise Restructuring, State-Asset Management and Finance/Trade Operations (1993-1997)
Lead for Russia/CIS/Balkans Sovereign/Cross-Sector Finance Operations, Privatization, Corporate Restructuring (1997-2005)
Lead Economic Advisor for Africa on Continent-Wide Finance and Investment Operations (2005-2008)
- 1991 – 1993: **Executive Office of the President**
United States Assistant Trade Representative
US Negotiator for all Services Industries and Investment Provisions in the WTO, General Agreement on Trade in Services (GATS), and NAFTA
US Negotiator for all Sovereign Bilateral Investment Treaties (BITs)
US Negotiator for all International Science and Technology Agreements
Member, Committee on Foreign Investment in the U.S. (CFIUS)
Board Member, Overseas Private Investment Corporation (OPIC)
- 1990 – 1991: **President's Council of Economic Advisers**
Chief of Staff
- 1987 – 1990: **United States Senate**
Chief Economist, Committee on Governmental Affairs (John Glenn, Chairman)

- 1985 – 1987: **Harvard University Faculty**
Visiting Faculty, School of Arts & Sciences, Department of Economics (Teaching International Trade & Investment)
Visiting Faculty, Kennedy School of Government (Teaching International Energy Markets and Regulation)
Senior Fellow, Harvard Energy and Environmental Policy Center (Research and Publications on Natural Gas Policy and Expert Witness Consulting)
- 1982 – 1985: **Johns Hopkins University Faculty, Graduate School of Advanced International Studies**
Adjunct Professor of International Economics
- 1981 – 1985: **Resources for the Future, Inc.**
Assistant Director, Center for Energy Policy
- 1980 – 1981: **The Brookings Institution**
Fellow, Economic Policy
- 1979 – 1980: **The RAND Corporation**
Advisor, International Energy Security Policy

CORPORATE BOARD EXPERIENCE

- *Board of Advisors, Russian-American Chamber of Commerce, 2006-2010*
- *Board of Directors, Corporate Council on Africa, 2012-2016*
- *Board of Directors, Executive Committee Member; Chair, Audit Committee, PartnersGlobal (International Alternative Dispute Resolution Firm), 2008-present*
- *Board of Directors, Strategic Ratings PLC (New UK-based Credit Ratings Agency), 2018-present*
- *Board of Advisors, ArmorText (Enterprise Cyber Software Services Provider), 2017-present*
- *Board of Advisors, Lake Tanganyika Floating Clinic (Healthcare and Telecom Services Provider), 2015-present*
- *Board of Advisors, Global Business School Network, 2007-present*

ORGANIZATIONAL MEMBERSHIPS

- *Elected Lifetime Member, Council on Foreign Relations, 1995-*
- *Appointed Lifetime Member, Bretton Woods Committee, 2008-*
- *Board Leadership Fellow and Member, National Association of Corporate Directors (NACD), 2015*
- *Member, Private Directors Association, 2018*

PUBLICATIONS

Several books and numerous articles published in peer-reviewed business, finance, trade, economics, foreign policy, and law journals. The full list is available on www.harrygbroadman.com.

ARTICLES

Professional Journals in which Articles have been published include:

Global Competition Review; International Financial Law Review; The World Economy; Review of Industrial Organization; Yale Journal on Regulation; Columbia Journal of World Business; Journal of Economic Literature; Foreign Affairs; Journal of Policy Reform; Journal of Corporate Ownership & Control; Journal of Policy Analysis & Management; International Journal of the Economics of Business; Transnational Corporations; Finance and Development; Energy Policy; Journal of Asian Studies; Land Economics; The Energy Journal; Eurasian Geography and Economics; The International Lawyer; Economics of Planning; The Washington Quarterly; Journal of Energy and Development; The Natural Resources Journal; African Analyst Quarterly; The Globalist; CESifo Economic Forum; Journal of International Commerce, Economics Policy; The International Journal of Industrial Organization; Columbia FDI Perspectives

EDITORIAL BOARDS OF PROFESSIONAL JOURNALS

International Financial Law Review (IFLR)

BOOKS

Published Books include:

- *Africa's Silk Road: China and India's New Economic Frontier*
- *Case-by-Case Privatization in Russia*
- *From Disintegration to Reintegration: The Former Soviet Union in International Trade*
- *Unleashing Russia's Business Potential*
- *Economic Liberalization and Integration Policy: Lessons for Eastern Europe and Russia*
- *Building Market Institutions in the Balkans*
- *China's Management of Enterprise Assets: The State as Shareholder*
- *Policy Options for Chinese State-Owned Enterprise Reform*
- *Natural Gas Markets After Deregulation*

BUSINESS COLUMNIST

Monthly *Global Business Columnist*, **Forbes**; **Newsweek-International**; **Gulf News**, 2015 – present

Frequent *Emerging Markets Columnist*, **Financial Times**, 2019 – present

Quarterly *National Security Regulation Columnist*, **International Financial Law Review**, 2020 - present